

BUTTONWOOD FINANCIAL GROUP, LLC

PART 2B OF FORM ADV – BROCHURE SUPPLEMENT

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## BUTTONWOOD FINANCIAL GROUP, LLC

3013 Main Street  
Kansas City, MO 64108  
(816) 285-9000

[www.ButtonwoodFG.com](http://www.ButtonwoodFG.com)

March 31, 2021

This Brochure Supplement provides information about representatives of Buttonwood Financial Group, LLC (“Buttonwood”) that supplements the Buttonwood Firm Brochure (Form ADV Part 2A) that you should have received. Please contact us at (816) 285-9000 or [jon@buttonwoodfg.com](mailto:jon@buttonwoodfg.com) if you did not receive Buttonwood’s Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about representatives of Buttonwood is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

BUTTONWOOD FINANCIAL GROUP, LLC

PART 2B OF FORM ADV – BROCHURE SUPPLEMENT

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**Jon M. McGraw**  
**BUTTONWOOD FINANCIAL GROUP, LLC**

3013 Main Street  
Kansas City, MO 64108  
(816) 285-9000

[www.ButtonwoodFG.com](http://www.ButtonwoodFG.com)

Date of Brochure Supplement: March 31, 2021

This Brochure Supplement provides information about Jon McGraw that supplements the Buttonwood Financial Group, LLC (“Buttonwood”) Firm Brochure (Form ADV Part 2A) that you should have received. Please contact us at (816) 285-9000 or [jon@buttonwoodfg.com](mailto:jon@buttonwoodfg.com) if you did not receive Buttonwood’s Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Jon McGraw is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 - Educational Background and Business Experience

We require any employees involved in the practice of giving investment advice or consulting to clients to have at a minimum, a bachelor degree from an accredited university, preferably with a major in finance, economics, or business, etc., or have at least five years financial advisory and investment management experience. These employees must also pass appropriate licensing examinations and are strongly encouraged to seek continuing education opportunities available in the industry, including appropriate certifications or designations.

**Jon M. McGraw, AIF<sup>®</sup> CMFC<sup>®</sup>**

Year of Birth: 1968

### **Education:**

Bachelor of Science, Business Administration, University of Northern Colorado, 1991

Mr. McGraw also earned a Certificate in Financial Planning from the University of Missouri (Kansas City)

### **Business:**

2009-Present: President/Chief Compliance Officer/Life and Health Insurance Agent, Buttonwood Financial Group, LLC, a Registered Investment Advisor (Kansas City, MO)

2009-2019: Registered Representative, Purshe Kaplan Sterling Investments (Albany, NY)

2002-2009: President, Buttonwood Financial Group, LLC (Kansas City, MO) and Branch Manager, Linsco Private Ledger (San Diego, CA)

1996-2002: Area Manager, Quick & Reilly, Inc. (IA, NE, KS, MO, OK, AR Region)

1991-1996: Registered Representative/Manager, Quick & Reilly, Inc. (Boulder, Colorado)

### **Industry Examinations and Professional Designations:**

Jon McGraw has previously taken and passed the following industry examinations: Series 4, 7, 8, 24, 63, 65. He has also obtained the following designations: Accredited Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>) and Chartered Mutual Fund Counselor<sup>SM</sup> (CMFC<sup>®</sup>). The minimum qualifications required for each designation are provided below to assist you in understanding the value of each designation.

**Accredited Investment Fiduciary<sup>®</sup> (AIF<sup>®</sup>):** The AIF<sup>®</sup> designation certifies that the recipient has specialized knowledge of fiduciary standards of care and their application to the investment management process. To receive the AIF<sup>®</sup> designation, individuals must complete a training program, successfully pass a comprehensive, closed-book final examination under the supervision of a proctor and agree to abide by the AIF<sup>®</sup> Code of Ethics. In order to maintain the AIF<sup>®</sup> designation, the individual must annually renew their affirmation of the AIF<sup>®</sup> Code of Ethics and complete six hours of continuing education credits. The certification is administered by the Center for Fiduciary Studies, LLC (a Fiduciary360 (fi360) company).

**Chartered Mutual Fund Counselor<sup>SM</sup> (CMFC<sup>®</sup>):** The CMFC<sup>®</sup> Program is the only industry-recognized mutual fund designation. It is the result of collaboration between The College for Financial Planning<sup>®</sup> and the Investment Company Institute (ICI), the primary trade association for

the mutual fund industry. The program's quality and thoroughness reflect the combined experience and expertise of the College and the ICI.

This program provides the applicant with a thorough knowledge of mutual funds and their various uses as investment vehicles. As a graduate of the program, an applicant can approach mutual funds with a new understanding and confidence, as well as having the ability to communicate that confidence to clients.

The College for Financial Planning® awards the Chartered Mutual Fund Counselor<sup>SM</sup> (CMFC®) designation to students who:

- successfully complete the program;
- pass the final examination; and
- comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct and Terms and Conditions.

Continued use of the CMFC® designation is subject to ongoing renewal requirements. Every two years individuals must renew their right to continue using the CMFC® designation by:

- completing 16 hours of continuing education;
- reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions

Continuing education topics may include:

- Introduction to Mutual Funds
- Open- & Closed-End Funds: Types & Characteristics
- Other Packaged Investment Products
- Risk/Return: Part I
- Risk/Return: Part II
- Asset Allocation
- Selecting a Mutual Fund for a Client
- Retirement Planning
- Ethics, Integrity & Professional Conduct

### **Item 3 – Disciplinary Information**

Mr. McGraw has never been subject to any legal or disciplinary proceedings which would be considered reportable as material to a Client's evaluation of him.

#### **Item 4 – Other Business Activities**

Mr. McGraw is also a licensed Life and Health Insurance Agent.

Life and health insurance related business may be transacted with Buttonwood Clients through Buttonwood by Mr. McGraw and as such, he may receive commissions from products sold to Clients. Clients are advised that the commissions earned by Mr. McGraw for selling Clients insurance products are separate and distinct from the fees paid to Buttonwood for investment advisory services.

As a fiduciary Mr. McGraw must act primarily for the benefit of Buttonwood clients. He may only transact insurance related business with clients when the products are fully disclosed, suitable, and appropriate to fit their needs. Because the receipt of commissions by Mr. McGraw presents a conflict of interest, Clients are informed that they are under no obligation to use Mr. McGraw (or any individual associated with Buttonwood) for insurance products or services. Clients may use any insurance firm or agent they choose. Mr. McGraw provides insurance services in order to simplify the implementation of various wealth management strategies.

In addition, Jon McGraw is involved in the following outside business activities:

- Mr. McGraw acts as a co-trustee for private family foundations and other individual trusts. Mr. McGraw devotes less than 5 hours per month to his duties as a co-trustee.
- Mr. McGraw is the Owner of Buttonwood Art Space LLC. Mr. McGraw devotes approximately 10 hours per month to his duties as Exhibit Manager.
- Mr. McGraw is the Real Estate Rental Manager for Buttonwood 3013 LLC, 31w31, LLC, and 3931KC, LLC. Mr. McGraw devotes approximately 10 hours per month to his duties as Real Estate Manager.

While these outside activities may be considered “investment-related”, Clients of Buttonwood Financial Group, LLC are not invested in (or solicited to invest in) these businesses. As such, these businesses present no conflicts of interest with Clients of Buttonwood Financial Group, LLC.

#### **Item 5 – Additional Compensation**

Mr. McGraw does not receive any economic benefits, sales awards, or other compensation from outside parties in connection with providing advisory services to clients.

BUTTONWOOD FINANCIAL GROUP, LLC

PART 2B OF FORM ADV – BROCHURE SUPPLEMENT

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**Item 6 – Supervision**

Mr. McGraw is the primary owner and President of the firm, and consequently does not report to a supervisor. Mr. McGraw also serves as the firm's Chief Compliance Officer and as such provides oversight of the firm's policies, procedures, and activities. Mr. McGraw may be contacted at the firm's address or phone number listed above.

BUTTONWOOD FINANCIAL GROUP, LLC

PART 2B OF FORM ADV – BROCHURE SUPPLEMENT

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Vincent I. Pastorino  
BUTTONWOOD FINANCIAL GROUP, LLC

3013 Main Street  
Kansas City, MO 64108  
(816) 285-9000

[www.ButtonwoodFG.com](http://www.ButtonwoodFG.com)

Date of Brochure Supplement: March 31, 2021

This Brochure Supplement provides information about Vincent Pastorino that supplements the Buttonwood Financial Group, LLC (“Buttonwood”) Firm Brochure (Form ADV Part 2A) that you should have received. Please contact us at (816) 285-9000 or [jon@buttonwoodfg.com](mailto:jon@buttonwoodfg.com) if you did not receive Buttonwood’s Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Vincent Pastorino is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

We require any employees involved in the practice of giving investment advice or consulting to clients to have at a minimum, a bachelor degree from an accredited university, preferably with a major in finance, economics, or business, etc., or have at least five years financial advisory and investment management experience. These employees must also pass appropriate licensing examinations and are strongly encouraged to seek continuing education opportunities available in the industry, including appropriate certifications or designations.

### **Vincent I. Pastorino**

Year of Birth: 1972

#### **Education:**

Bachelor of Arts, Sociology, University of Missouri (Kansas City), 1995

#### **Business:**

2009-Present: Lead Advisor/Life and Health Insurance Agent, Buttonwood Financial Group, LLC (Kansas City, MO)  
2009-2019: Registered Representative, Purshe Kaplan Sterling Investments (Albany, NY)  
2004-2009: Registered Representative, Linsco Private Ledger (San Diego, CA)  
2004-2005: Vice President, Franklin Financial Group (Overland Park, KS)  
2003-2004: Registered Representative, Wachovia Securities, LLC (Overland Park, KS)  
2001-2003: Registered Representative, Prudential Securities, Inc. (Overland Park, KS)  
1999-2001: Registered Representative, Prudential Securities, Inc. (Kansas City, MO)

#### **Industry Examinations:**

Vincent Pastorino has previously taken and passed the following industry examinations: Series 7, 63, 65.

## Item 3 – Disciplinary Information

Mr. Pastorino has never been subject to any legal or disciplinary proceedings which would be considered reportable as material to a Client's evaluation of him.

## Item 4 – Other Business Activities

Mr. Pastorino is also a licensed Life and Health Insurance Agent. Life and health insurance related business may be transacted with Buttonwood Clients through Buttonwood by Mr. Pastorino and as such, he may receive commissions from products sold to Clients. Clients are advised that the commissions earned by Mr. Pastorino for selling Clients insurance products are separate and distinct from the fees paid to Buttonwood for investment advisory services.

As a fiduciary Mr. Pastorino must act primarily for the benefit of Buttonwood clients. He may only transact insurance related business with clients when the products are fully disclosed, suitable, and appropriate to fit their needs. Because the receipt of commissions by Mr. Pastorino presents a conflict of interest, Clients are informed that they are under no obligation to use Mr. Pastorino (or any individual associated with Buttonwood) for insurance products or services. Clients may use any insurance firm or agent they choose. Mr. Pastorino provides insurance services in order to simplify the implementation of various wealth management strategies.

#### **Item 5 – Additional Compensation**

Other than bonus compensation he receives for generating new clients for the firm, Mr. Pastorino does not receive any economic benefits, sales awards, or other compensation from outside parties in connection with providing advisory services to clients.

#### **Item 6 – Supervision**

Mr. Pastorino reports to Jon M. McGraw, who is the primary owner and President of the firm. Mr. McGraw also serves as the firm's Chief Compliance Officer and as such provides oversight of the firm's policies, procedures, and activities. Mr. McGraw may be contacted at the firm's address or phone number listed above.

Francis B. Drinkwine  
BUTTONWOOD FINANCIAL GROUP, LLC

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Date of Brochure Supplement: March 31, 2021

This Brochure Supplement provides information about Francis B. Drinkwine that supplements the Buttonwood Financial Group, LLC (“Buttonwood”) Firm Brochure (Form ADV Part 2A) that you should have received. Please contact us at (816) 285-9000 or [jon@buttonwoodfg.com](mailto:jon@buttonwoodfg.com) if you did not receive Buttonwood’s Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Francis B. Drinkwine is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

We require any employees involved in the practice of giving investment advice or consulting to clients to have at a minimum, a bachelor degree from an accredited university, preferably with a major in finance, economics, or business, etc., or have at least five years financial advisory and investment management experience. These employees must also pass appropriate licensing examinations and are strongly encouraged to seek continuing education opportunities available in the industry, including appropriate certifications or designations.

### **Francis B. Drinkwine**

Year of Birth: 1958

#### **Education:**

1986: Certified Financial Planner<sup>®</sup>, College for Financial Planning, Denver, CO

1980: B.S. Economics, University of Wisconsin, Madison, WI

#### **Business:**

2011-Present: Lead Advisor/Life and Health Insurance Agent, Buttonwood Financial Group, LLC (Kansas City, MO)

1981-Present: President, Great River LLC (Overland Park, KS)

1996-2011: Registered Representative, Royal Alliance Associates, Inc. (Leawood, KS)

1996-2011: Investment Advisor Representative, Equity Advisor, Inc. (Leawood, KS)

1992-1995: Registered Representative, Harbour Investments, Inc. (Madison, WI)

1988-1990: Registered Representative, FSC Securities, Corp. (Madison, WI)

1986-1988: Registered Representative, Coordinated Capital. (Madison, WI)

#### **Industry Examinations:**

Francis B. Drinkwine has previously taken and passed the following industry examinations: Series 7, 63. He is also a Certified Financial Planner (CFP<sup>®</sup>). The minimum qualifications required for this designation is provided below to assist you in understanding the value of the designation.

**CERTIFIED FINANCIAL PLANNER<sup>™</sup>, CFP<sup>®</sup>** and federally registered CFP (with a flame design) marks (collectively, the “CFP<sup>®</sup> marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (CFP Board).

The CFP<sup>®</sup> certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP<sup>®</sup> certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with Clients.

To attain the right to use the CFP<sup>®</sup> marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined are necessary for the competent and professional delivery of financial planning services, and attain a bachelor’s degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s

financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and Client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by the CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their Clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Item 3 – Disciplinary Information**

Mr. Drinkwine has never been subject to any legal or disciplinary proceedings which would be considered reportable as material to a Client’s evaluation of him.

### **Item 4 – Other Business Activities**

Mr. Drinkwine is the President of Great River, LLC in Overland Park, KS. He is the sole shareholder of the corporation which is an inactive insurance managing general agency that previously sold fixed life insurance products through independent life insurance agents. Mr. Drinkwine devotes no time to this activity during trading hours. This other business activity poses no conflicts of interest with Clients of Buttonwood Financial Group, LLC.

Mr. Drinkwine is also a licensed Life and Health Insurance Agent.

Life and health insurance related business may be transacted with Buttonwood Clients through Buttonwood by Mr. Drinkwine and as such, he may receive commissions from insurance products sold to Clients. Clients

are advised that the commissions earned by Mr. Drinkwine for selling Clients insurance products are separate and distinct from the fees paid to Buttonwood for investment advisory services.

As a fiduciary Mr. Drinkwine must act primarily for the benefit of Buttonwood clients. He may only transact insurance related business with clients when the products are fully disclosed, suitable, and appropriate to fit their needs. Because the receipt of commissions by Mr. Drinkwine presents a conflict of interest, Clients are informed that they are under no obligation to use Mr. Drinkwine (or any individual associated with Buttonwood) for insurance products or services. Mr. Drinkwine provides insurance services in order to simplify the implementation of various wealth management strategies.

#### **Item 5 – Additional Compensation**

Other than bonus compensation he receives for generating new clients for the firm, Mr. Drinkwine does not receive any economic benefits, sales awards, or other compensation from outside parties in connection with providing advisory services to clients.

#### **Item 6 – Supervision**

Francis B. Drinkwine reports to Jon M. McGraw, who is the primary owner and President of the firm. Mr. McGraw also serves as the firm's Chief Compliance Officer and as such provides oversight of the firm's policies, procedures, and activities. Mr. McGraw may be contacted at the firm's address or phone number listed above.

Kyle E. Hogan  
BUTTONWOOD FINANCIAL GROUP, LLC

3013 Main Street  
Kansas City, MO 64108  
(816) 285-9000

[www.ButtonwoodFG.com](http://www.ButtonwoodFG.com)

Date of Brochure Supplement: March 31, 2021

This Brochure Supplement provides information about Kyle E. Hogan that supplements the Buttonwood Financial Group, LLC (“Buttonwood”) Firm Brochure (Form ADV Part 2A) that you should have received. Please contact us at (816) 285-9000 or [jon@buttonwoodfg.com](mailto:jon@buttonwoodfg.com) if you did not receive Buttonwood’s Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Kyle E. Hogan is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

We require any employees involved in the practice of giving investment advice or consulting to clients to have at a minimum, a bachelor degree from an accredited university, preferably with a major in finance, economics, or business, etc., or have at least five years financial advisory and investment management experience. These employees must also pass appropriate licensing examinations and are strongly encouraged to seek continuing education opportunities available in the industry, including appropriate certifications or designations.

### **Kyle E. Hogan**

Year of Birth: 1988

#### **Education:**

Bachelor's of Science Degree in Business Administration, Kansas State University - Manhattan, KS, 2012

#### **Business:**

2015-Present: Wealth Management Strategist/Life and Health Insurance Agent, Buttonwood Financial Group, LLC (Kansas City, MO)

2015-2019: Registered Representative, Purshe Kaplan Sterling Investments (Albany, NY)

2013-2015: Registered Representative, AXA Advisors, LLC (New York, NY)

#### **Industry Examinations:**

Mr. Hogan has previously taken and passed the following industry examinations: Series 7, 63, 65. He is also a Certified Financial Planner (CFP®). The minimum qualifications required for this designation is provided below to assist you in understanding the value of the designation.

**CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP (with a flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (CFP Board).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with Clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined are necessary for the competent and professional delivery of financial planning services, and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and Client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by the CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their Clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### Item 3 – Disciplinary Information

Mr. Hogan has never been subject to any legal or disciplinary proceedings which would be considered reportable as material to a Client’s evaluation of him.

### Item 4 – Other Business Activities

Mr. Hogan is also a licensed Life and Health Insurance Agent. Life and health insurance related business may be transacted with Buttonwood Clients through Buttonwood by Mr. Hogan and as such, he may receive commissions from products sold to Clients. Clients are advised that the commissions earned by Mr. Hogan for selling Clients insurance products are separate and distinct from the fees paid to Buttonwood for investment advisory services.

As a fiduciary Mr. Hogan must act primarily for the benefit of Buttonwood clients. He may only transact insurance related business with clients when the products are fully disclosed, suitable, and appropriate to fit their needs. Because the receipt of commissions by Mr. Hogan presents a conflict of interest, Clients are informed that they are under no obligation to use Mr. Hogan (or any individual associated with Buttonwood) for insurance products or services. Clients may use any insurance firm or agent they choose. Mr. Hogan provides insurance services in order to simplify the implementation of various wealth management strategies.

### **Item 5 – Additional Compensation**

Other than bonus compensation he receives for generating new clients for the firm, Mr. Hogan does not receive any economic benefits, sales awards, or other compensation from outside parties in connection with providing advisory services to clients.

### **Item 6 – Supervision**

Mr. Hogan reports to Jon M. McGraw, who is the primary owner and President of the firm. Mr. McGraw also serves as the firm's Chief Compliance Officer and as such provides oversight of the firm's policies, procedures, and activities. Mr. McGraw may be contacted at the firm's address or phone number listed above.

Mitchell L. Smith  
BUTTONWOOD FINANCIAL GROUP, LLC

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(816) 285-9000

[www.ButtonwoodFG.com](http://www.ButtonwoodFG.com)

Date of Brochure Supplement: March 31, 2021

This Brochure Supplement provides information about Mitchell L. Smith that supplements the Buttonwood Financial Group, LLC (“Buttonwood”) Firm Brochure (Form ADV Part 2A) that you should have received. Please contact us at (816) 285-9000 or [jon@buttonwoodfg.com](mailto:jon@buttonwoodfg.com) if you did not receive Buttonwood’s Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Mitchell L. Smith is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 Educational Background and Business Experience

We require any employees involved in the practice of giving investment advice or consulting to clients to have at a minimum, a bachelor degree from an accredited university, preferably with a major in finance, economics, or business, etc., or have at least five years financial advisory and investment management experience. These employees must also pass appropriate licensing examinations and are strongly encouraged to seek continuing education opportunities available in the industry, including appropriate certifications or designations.

### **Mitchell L. Smith**

Year of Birth: 1989

#### **Education:**

Bachelor's of Science Degree in Personal Financial Planning, University of Missouri, 2012

#### **Business:**

2014-Present: Wealth Management Strategist/Life and Health Insurance Agent, Buttonwood Financial Group, LLC (Kansas City, MO)  
2014-2019: Agent, Fixed Insurance Sales, PKS Financial Services  
2013-2014: Client Services Representative, LaBrunerie Financial (Columbia, MO)  
2012-2013: Bank Midwest, IRA Specialist (Kansas City, MO)

#### **Industry Examinations:**

Mitchell L. Smith is a Certified Financial Planner (CFP®). The minimum qualifications required for this designation is provided below to assist you in understanding the value of the designation.

**CERTIFIED FINANCIAL PLANNER™, CFP®** and federally registered CFP (with a flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (CFP Board).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with Clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined are necessary for the competent and professional delivery of financial planning services, and attain a bachelor's degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and Client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by the CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their Clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to the CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### Item 3 – Disciplinary Information

Mr. Smith has never been subject to any legal or disciplinary proceedings which would be considered reportable as material to a Client’s evaluation of him.

### Item 4 – Other Business Activities

Mr. Smith is also a licensed Life and Health Insurance Agent. Life and health insurance related business may be transacted with Buttonwood Clients through Buttonwood by Mr. Smith and as such, he may receive commissions from insurance products sold to Clients. Clients are advised that the commissions earned by Mr. Smith for selling Clients insurance products are separate and distinct from the fees paid to Buttonwood for investment advisory services.

As a fiduciary Mr. Smith must act primarily for the benefit of Buttonwood clients. He will only transact insurance related business with clients when the products are fully disclosed, suitable, and appropriate to fit their needs. Because the receipt of commissions by Mr. Smith presents a conflict of interest, Clients are informed that they are under no obligation to use Mr. Smith (or any individual associated with Buttonwood) for insurance products or services. Clients may use any insurance firm or agent they choose. Mr. Smith provides insurance services in order to simplify the implementation of various wealth management strategies.

### **Item 5 – Additional Compensation**

Other than bonus compensation he receives for generating new clients for the firm, Mr. Smith does not receive any economic benefits, sales awards, or other compensation from outside parties in connection with providing advisory services to clients.

### **Item 6 – Supervision**

Mr. Smith reports to Jon M. McGraw, who is the primary owner and President of the firm. Mr. McGraw also serves as the firm's Chief Compliance Officer and as such provides oversight of the firm's policies, procedures, and activities. Mr. McGraw may be contacted at the firm's address or phone number listed above.